

Translation

PATENT COOPERATION TREATY

PCT/DE2003/002135



PCT

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference T02008 PCT	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/DE2003/002135	International filing date (day/month/year) 26 June 2003 (26.06.2003)	Priority date (day/month/year) 28 June 2002 (28.06.2002)
International Patent Classification (IPC) or national classification and IPC G10L 15/18		
Applicant T-MOBILE DEUTSCHLAND GMBH		

- This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
- This REPORT consists of a total of 5 sheets, including this cover sheet.

☒ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 4 sheets.

- This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand 27 January 2004 (27.01.2004)	Date of completion of this report 04 January 2005 (04.01.2005)
Name and mailing address of the IPEA/EP	Authorized officer
Facsimile No.	Telephone No.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/DE2003/002135

I. Basis of the report

1. With regard to the elements of the international application:*

- ☐ the international application as originally filed
- ☒ the description:
pages _____, 1,2,4-9 _____, as originally filed
pages _____, filed with the demand
pages _____, 3,3a _____, filed with the letter of _____ 29 November 2004 (29.11.2004)
- ☒ the claims:
pages _____, as originally filed
pages _____, as amended (together with any statement under Article 19
pages _____, filed with the demand
pages _____, 10-11 claims 1-5 _____, filed with the letter of _____ 29 November 2004 (29.11.2004)
- ☒ the drawings:
pages _____, 1/6-6/6 _____, as originally filed
pages _____, filed with the demand
pages _____, filed with the letter of _____
- ☐ the sequence listing part of the description:
pages _____, as originally filed
pages _____, filed with the demand
pages _____, filed with the letter of _____

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.
These elements were available or furnished to this Authority in the following language _____ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages _____
- ☐ the claims, Nos. _____
- ☐ the drawings, sheets/fig _____

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rule 70.16 and 70.17).

** Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.
PCT/ 03/02135

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Claims	1-5	YES
	Claims		NO
Inventive step (IS)	Claims	1-5	YES
	Claims		NO
Industrial applicability (IA)	Claims	1-5	YES
	Claims		NO

2. Citations and explanations

1. Reference is made to the following document:

D1: US-B1-6182039

2. Document D1 is considered the prior art closest to the subject matter of claim 1. D1 discloses (the references in parentheses relate to said document):

A method for natural voice recognition with the steps:

- analysis of a spoken phrase for triphones contained therein and formation of words contained in this phrase (30, 32, 48 and column 5, line 62), and a syntactic reconstruction of the spoken phrase using a grammar (36, 83) and a semantic selection method (38).

3. The subject matter of claim 1 differs therefore from that known from document D1 in that the words formed are assigned to word categories (verb, noun, etc.) and the word categories themselves are then assigned to nominal phrases and verbal phrases. The phrases assembled after the input of various sentence models

are considered to be recognised if there is concordance.

The subject matter of claim 1 is therefore novel (PCT Article 33(2)).

4. The problem addressed by the present invention can therefore be considered that of indicating a method for voice recognition based on an exact phrase structure grammar which requires fewer system resources and enables a secure and faster recognition whilst reducing overgeneration.

The solution to this problem proposed in claim 1 of the present application involves an inventive step for the following reasons (PCT Article 33(3)): although document D1 mentions a deep structure as a means of reducing overgeneration, it does not disclose how this deep structure is to be used in concrete terms. The solution is therefore novel and non-obvious to a person skilled in the art.

5. Claims 2-5 are dependent on claim 1 and therefore likewise meet the PCT novelty and inventive step requirements.